Transformations for Abstractions

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Abstract

The transformation language Stratego provides high-level abstractions for implementation of a wide range of transformations. Our aim is to integrate transformation in the software development process and make it available to programmers. This requires the transformations provided by the programming environment to be extensible. This paper presents a case study in the implementation of extensible programming environments using Stratego, by developing a small collection of language extensions and several typical transformations for these languages.

1. Introduction

Stratego/XT is a language and toolset for the construction of program transformation systems. The goal of the Stratego language is to unify different types of transformations into one language, i.e., to provide abstractions for implementing a wide range of transformations. The basic assumption underlying Stratego is that transformations should be expressed by rewrite rules that are under the control of a user-definable strategy—as opposed to rule-based systems with an implicit strategy.

Stratego/XT is applied in a number of research projects. First of all the Stratego compiler itself, the Stratego interpreter, and the XT toolset with numerous grammar engineering tools are implemented in Stratego. The CodeBoost transformation system for C++ [2] supports domain-specific optimization of numerical software. A compiler for Tiger includes all aspects of compilation, from type checking, via optimizations, to instruction selection. A compiler for Octave includes loop vectorization, and partial evaluation of types and values [17]. Finally, we are working on a transformation framework for Java geared towards domain-specific language embeddings and meta-programming [8, 6].

In these projects we have implemented numerous types of transformations including desugaring of syntactic abstractions; assimilation of language embeddings [8]; bound variable renaming; optimizations, such as function inlining; data-flow transformations such as constant propagation, copy propagation, common-subexpression elimination, and partial evaluation [5, 18]; instruction selection [7]; and several analyses including type checking [6], escaping variables analysis, and bound-unbound variables analysis for Stratego. Thus, we have been successful in exploring the implementation of individual transformations, and the range of transformations that we know how to encode effectively and elegantly grows. Along the way we keep discovering better idioms and abstractions for implementing transformations.

What is common in the transformations that we are exploring is that they are for supporting abstractions. That is, to enable programming at a higher-level of abstraction. Our aim is to integrate such transformations in the software development process and make them available to programmers. That is, create programming environments with full meta-programming support that let the (meta-) programmer extend the language, the compiler, and other aspects of the environment such as documentation generators. This requires the transformations provided by the programming environment to be extensible. The base programming language should be extensible with new constructs and/or with new (primitive) APIs implementing new abstractions. Likewise the compiler should be extensible with new transformations, and existing transformations should be extensible to new language constructs. New constructs may be implemented by reduction to the base language, or by extension of the back-end of the compiler. APIs may require domain-specific optimizations to ensure efficient execution. While syntactic extensibility is covered by the syntax definition formalism SDF [20], extensibility of transformations is a topic of research. The scale of the projects mentioned

1The Stratego/XT group

2Note that Stratego/XT is not intrinsically restricted to this application area. There are also applications of Stratego to software evolution. For example, the Proteus project at Lucent has built a transformation system for C/C++ programs [21] using Stratego.
above, prohibits studying these issues ‘in vivo’.

This paper presents a case study in bringing together the essence of the techniques from the various application projects, in an ‘in vitro’ model of an extensible programming environment. The restricted scale makes experimentation with different implementation styles easy. The TFA project is an extensible framework consisting of a family of language extensions to a tiny core language. The rest of this paper presents the TFA languages, shows the implementation of some typical transformations, and discusses how extensibility for these transformations has been achieved. The paper may also be read as a tutorial with an overview of different styles for the implementation of transformations in Stratego. Since an in depth explanation of the Stratego concepts is out of the scope of this paper, the reader is referred to relevant papers.

2. Languages and Transformations

TFA is a collection of languages extending a tiny core language. Figure 1 defines the syntax of a number of these extensions. The core language introduces variables, assignments of values to variables, function and procedure calls, and blocks of statements. The int extension adds integer constants and the usual arithmetic, relational, and short circuit Boolean operators, where Boolean values are represented by integers as in C. Given this data type, the control extension adds control-flow constructs for choice and iteration. The string extension adds string literals. The eblocks extension adds expression blocks, allowing statements to be used in expressions; an expression begin st1* return e; st2* end first executes the statements st1*, then computes the result e of the expression, and finally executes the statements st2*. The definitions extension adds function and procedure definitions. The regexps extension embeds the domain-specific language of regular expressions in the language, introducing the match expression /re/e, which matches the string resulting from the evaluation of the expression e to the regular expression re. Not shown here are extensions adding stack operations and labels and gotos, to model low-level programs. There is no end to further possible extensions, of course.

In addition to the syntax, the TFA programming environment provides a collection of transformations that can be applied to programs. Desugarings reduce a program to use a smaller set of extensions. These types of transformations are also known as assimilation [8], normalization, simplification, or compilation (when the result is a low-level language). The run transformations reduce a program and its inputs to its outputs, and thus implement an interpreter. Bound-variable renaming is a transformation that assigns unique names to all declared identifiers in a program. Dataflow transformations optimize programs using knowledge...
of the flow of data in the program. Examples are constant propagation and common-subexpression elimination. Partial evaluation or specialization specializes a program to its inputs. It can be seen as a special case of constant propagation. A translation transforms a program to a program in another language. These transformations can be combined into various complete transformation systems, such as a complete compiler, a source-to-source partial evaluator, an interpreter, and a source-to-source preprocessor (supporting a language extension or embedding).

The matrix in Figure 2 shows which languages extend which transformations. An implementation is not needed everywhere. The symbols in the matrix indicate the type of extension. For instance, the string extension only adds literals to the syntax, and requires an extension of the interpreter to implement an API for string manipulation. In the following sections we examine a selection of points in the matrix focusing on the following issues: how to concisely implement a transformation, and how to make these implementations extensible.

3. Desugaring

A simple but effective way to implement language extensions is by desugaring, that is, by reducing a syntactic abstraction (also known as syntactic sugar) to constructs in the base language. It depends on the complexity of the abstraction, how difficult the transformation is. Preferably, desugarings can be implemented by means of simple rewrite rules. Figure 3 shows desugaring rules for the int, control, and eblocks extensions.

A rewrite rule $R : p_1 \rightarrow p_2$ defines a transformation from a program fragment matching $p_1$ to a program fragment $p_2$. The $\text{BinOpToCall}$ rule generically rewrites an abstract syntax term representing a binary operator application to a function call. The $\text{ForToWhile}$ rule defines for loops in terms of while loops. The $\text{HoistEBlock}$ rules define how eblocks in expressions can be lifted to statement level. The auxiliary $\text{collect-eblocks}$ strategy lifts all eblocks from a list of expressions at once, producing a list of statements consisting of the statements from the eblocks and a list of expressions without eblocks. These rules define single transformation steps. The desugar strategy combines these rules using the generic innermost strategy with Desugar as argument. Since there is nothing to desugar in the core language, Desugar is initially defined to be fail, the transformation that always fails. By simply extending the definition of Desugar in the extensions, the transformation is adapted to cover the extended languages. The innermost strategy exhaustively applies its argument transformation rules, starting with the innermost nodes of the abstract syntax tree of a program, and thus normalizes to a form in which none of the rules is applicable.

4. Assimilation of Embedded Languages

The desugaring in the previous section were concerned with reducing new types of expressions and statements to old types of expressions and statements. Another kind of language extension is the embedding of a domain-specific language in the base language. The difference with the previous type of extension is that the embedded language is of a completely different type. Also it often involves non-local transformations, i.e., that affect the context of the construct. We have explored DSL embedding with Java as base language and described MetaBorg [8], a general approach for DSL embeddings. In that context, we have coined the term assimilation for the transformation that melds the embedding with its host code. Here we illustrate this type of
extension and transformation by embedding regular expression matching and its assimilation to expressions and new functions.

The expression /re\ e/ matches the regular expression re against the string resulting from the evaluation of the expression e. To make assimilating this operation easier, the additional ‘match with continuation’ expression /re;f/x has been added to the extension. It succeeds (returns true) for the string in variable x if the regular expression re matches a prefix of x and the Boolean function f succeeds for the suffix. Using this construct we can give a compositional definition of regular expression matching by extending our desugaring transformation, that is by adding new rules to the definition of Desugar. Figure 4 shows (most of) the rules and Figure 5 shows the result of applying the transformation to an example program.

Rule ReMch transforms the match /re\ e to x := e; /re; isEmpty/x, in order to first evaluate e and then check that re matches the entire string, i.e., with the empty string as suffix. The strategy new generates a unique new name. Rule ReStr transforms a string regular expression str to a check that the prefix of the string in x matches with str, and that the continuation function f succeeds on the suffix. Note that these rules use functions from the basic string API for analysing strings (isPref, getSuf, isEmpty). Rule ReAlt simply reduces the regular expression alternative to the Boolean or (|), where we need the fact that the | operator in our language is a short circuit operator. Also we need the fact that the subject of the match is a variable and not an arbitrary expression, since then we would risk duplicating computations, and possibly even side effects.

While these rules perform local transformations, the following rules have a local and a non-local effect. Rule ReSeq reduces the sequential composition /re1 \ re2;f/x to /re1;g/x, where the continuation g is a new function that matches /re2;f/. Similarly, rule ReKle generates a new function g for the Kleene star /re*;f/. This function checks whether the prefix of its argument string matches re, and then recursively uses g as continuation. When the match of re fails, the continuation f is called. Thus, the function g checks whether the prefix of the string matches re zero or more times.

These functions are created while rewriting some local expressions and clearly cannot be placed at that point, but should rather be added to the program at top-level; a so called ‘local to global’ transformation problem [22]. This problem is solved here by means of dynamic rewrite rules, rewrite rules that are defined at run-time, inheriting information from their definition context. The strategy add-def defines a dynamic rule AddDef that rewrites a program (a list of definitions) to the same program with the new definition added in front. Since this rule extends the existing AddDef rule, multiple function definitions can be created.
The new definition is added to the program at top-level by an invocation of once-AddDef, which produces one of the right-hand sides of the AddDef rule at a time, which is then consumed, i.e., will not be produced the next time AddDef or once-AddDef is invoked. Thus, all generated functions will be added to the program one by one as part of the rewriting process. The new function definitions generated by ReSeq and ReKle again contain invocations of a regular expression match. These will in turn be normalized after being added to the program, which may give rise to further functions being generated.

5. Renaming

Programs use names to denote entities such as run-time values, functions, and modules. The same identifier can be used to name multiple entities. Bound variable renaming is a transformation that replaces declared identifiers with a unique new name, such that it becomes straightforward to see which entity an occurrence refers to. Figure 6 defines an extensible bound variable renaming strategy with extensions for the control and eblocks languages.

What distinguishes renaming from the previous transformations, is that it is context-sensitive. It requires replacing the name of a variable declaration with a new name, and then consistently replacing all variable uses referring to that declaration with the same new name. Again dynamic rules come to the rescue. Consider the rename-declaration rule for renaming variable declarations. Given a declaration of a variable \( x \), it uses the \texttt{new} strategy to generate a unique new name \( y \), and then defines a new \texttt{RenameVar} rule, rewriting \( x \) to \( y \). By applying the \texttt{RenameVar} rule to the right occurrences of \( x \), the renaming can be propagated.

Another issue that plays a role here is that renaming touches only a few types of syntax tree nodes, i.e., variable declarations and variable uses. At the same time, we cannot use a general rewriting approach as in desugarings of the previous sections; we have to carefully control where the renaming rules are applied. The implementation in Figure 6 achieves this by means of a user-defined traversal strategy. The \texttt{rename} strategy first checks if some special case applies, otherwise performs a \texttt{generic traversal} to the direct subterms of the current term using the \texttt{generic traversal} combinator \texttt{all}. The \texttt{rename-special} strategy handles the special cases, that is, performing traversals for specific constructs, renaming declarations, or restricting the scope of the dynamic RenameVar rules. The latter ensures that these rules are only applied in those places where the renamed variable is in scope. The strategy is extended to the control and eblocks languages by extending the definition of \texttt{rename-special}. Only for constructs that introduce new variable bindings the \texttt{rename} strategy needs to be extended, since generic traversal takes care of other constructs.

```plaintext

rename = rename-special <+ all(rename)
rename-special =
  Var(RenameVar)
  <+ Stats({| RenameVar : map(rename) |})
  <+ |][ <id:RenameVar> := <rename>; ]|
  <+ rename-declaration

rename-declaration :
  |[ var x : t; ]| -> |[ var y : t; ]|
  where new => y ; rules( RenameVar+x : x -> y ) core
  <+ Stats({| RenameVar |})

rename-special :
  |[ for x := e1 to e2 do st1* end ]| ->
  |[ for y := e3 to e4 do st2* end ]|
  where <rename> e1 => e3; <rename> e2 => e4
  ; new => y
  ; {| RenameVar : rules( RenameVar+x : x -> y ) |
    ; <rename> st1* => st2* |}
control
  <+ Stats({| RenameVar |})

rename-special :
  |[ begin st1* return e1; st2* end ]| ->
  |[ begin st3* return e2; st4* end ]|
  where {| RenameVar :
    ; <rename> (st1*, e1, st2*)
    => (st3*, e2, st4*) |}
eblocks
```

Figure 6. Renaming bound variables

6. Evaluation

If part of a program is constant, i.e., does not depend on any unknown values, it can be transformed to the result of the computation it defines. This is clearly the case in the implementation of an interpreter, but is also useful in the definition of optimizations such as constant propagation and partial evaluation. This section defines a full interpreter, while Sections 7 and 8 focus on data-flow transformation, and function specialization, respectively.

Figure 7 defines evaluation rules that reduce the application of a function with constant arguments to a constant. Thus, these rules define the semantics of the functions of the primitive datatypes. In the next section we see that these rules can be used in optimizations as well. In Figure 8 the rules are used in the definition of interpreters for the core, control, and definitions extensions. The definition of the eval strategy follows the same pattern as that for renaming: the eval-special strategy deals with special cases, while the default case is to first evaluate the direct subterms, and then apply an evaluation rule added to the definition of eval-exp. The special cases deal with variable definition and control-flow constructs. The evaluation of function calls is done using another extension of eval-exp.
The interpreter uses the dynamic EvalVar rule to propagate the values of variables from their definitions to their uses. Thus, when encountering an assignment, first the right-hand side expression is evaluated, and then an EvalVar rule is defined rewriting an occurrence of the left-hand side variable to its value. When encountering a variable declaration, the EvalVar rule for the variable with that name is undefined, to prevent that the value assigned to a variable with the same name in an enclosing scope is used in the local scope. When encountering a list of statements in a block (Stats), dynamic rule scope is used to limit the scope of EvalVar rules for variables declared in that block.

The if control construct is evaluated by first evaluating the condition, and then using the EvalIf rules to reduce the construct to one of its branches, which is then further evaluated. The while construct is evaluated by unrolling the loop to an if statement with an occurrence of the original loop after the body of the loop.

The interpretation of the definitions extension concerns the evaluation of function calls defined by function definitions, rather than being built-in to the interpreter (as is the case for the integer and string functions in Figure 7). To achieve this, a dynamic EvalFunCall rule is defined for each function definition in the program. This rule evaluates a function call by creating EvalVar rules binding the arguments of the function to its formal parameters, and then evaluating the body of the function resulting in a value val.

### 7. Data-Flow Transformations

Programs can often be simplified and optimized, in particular after desugaring. In imperative languages, optimizations often require knowledge of the data-flow in a program, that is, the relation between uses of variables and their definitions. This is similar to the situation in evaluation, where values of variables are propagated from definitions to uses. In fact, evaluation can be considered a special case of data-flow transformation, where control-flow always reduces to a single branch, and all assignments produce constant values. The generalization of evaluation is constant propagation, which propagates constant values when possible, but leaves
Figure 9 generalizes the interpreter of the previous section to the definition of constant propagation for the core, int, control, and string languages. The organization of the strategy is the same as in the case of evaluation, but now catering for the fact that not all expressions and statements can be fully evaluated. Thus, when the right-hand side of an assignment is not a constant, no constant value can and should be propagated for the left-hand side variable $x$. Also no previous PropConst rule for $x$ should remain accessible. Therefore, the propconst-assign strategy checks whether the result of propagation in the right-hand side expression is a constant value. If not, the PropConst rule is undefined for $x$.

Similarly, when the condition of an if statement does not reduce to a constant, the statement cannot be reduced to one of its branches. Therefore, propagation should proceed in both branches where these transformations should not affect each other. That is, the rule set for PropConst should be cloned so that the propagation in each branch can pretend to be the only branch executing. Afterwards, propagation should proceed with those PropConst rules that are consistent in both branches. This is achieved using the /PropConst\ intersection operator. In the case of a loop, propagation should be repeated until a stable rule set has been reached, which is achieved by the /PropConst\* operator. This abstract interpretation style of constant propagation, combined with the unreachable code elimination achieved by the EvalIf rules, is more powerful than a separate analysis and transformation phase, as is illustrated in Figure 10. The fact that the assignment of $x$ in the loop body can be ignored comes only after reducing the if statement when assuming that $x$ is 10.

Other data-flow transformations such as copy propagation, common-subexpression elimination, forward substitution, and dead code elimination can be defined in a similar manner. Since these transformations propagate expressions with variables (not just constant values), simply redefining or undefining the dynamic rule for a variable does not work any more. Using dependent dynamic rules [18], the dependencies of a rule on multiple entities such as variables can be declared.

8. Function Specialization

Extending constant propagation to programs with functions and procedures comes down to partial evaluation, i.e., specialization of programs to statically known inputs or to constants in the program. Partial evaluation supports abstraction by allowing programmers to write general programs that are instantiated for many different specific problems [15]. Here we consider one aspect of partial evaluation, namely function specialization. For each function call a new function is generated that is specialized to the constant valued arguments of the call. For example, the partially constant call to the power function in Figure 12 is

```
begin
  var x : int;
  var y : int;
  x := 10;
  while readInt() do
    if x = 10 then
      y := y + 1;
    else
      x := x + 1;
    end
  end
  writeln(x, y);
end
```

```
begin
  var x : int;
  var y : int;
  x := 10;
  while readInt() do
    y := y + 1;
  end
  writeln(10, y);
end
```

Figure 10. Constant propagation applied
replaced by a call to a new function \( b_0 \), which implements the \( \text{power} \) function specialized to the constant argument 3. This specialization gives rise to an invocation of \( \text{power} \) with constant argument 2, which is specialized to a call the function \( d_0 \), and so on. Note that the result can be further improved by ‘transition compression’, i.e., function unfolding. Furthermore, memoization of specializations should avoid multiple function definitions for the same constant arguments. For brevity, these aspects are ignored here.

The program in Figure 11 extends the definition of constant propagation of Section 7 to function specialization. (Since the definition is mostly the same for procedures, only the specialization of functions is shown.) Given the definition of a function \( f \), declare-def declares a dynamic rule \( \text{SpecializeCall} \). This dynamic rule rewrites a call \( f(e_1*) \) to a call \( g(e_2*) \), with \( e_2* \) the non-static arguments of the original call, and the function \( g \) a specialization of \( f \) to the static (constant) arguments of the call. The definition of the new function \( g \) is created in the condition of the \( \text{SpecializeCall} \) rule. The strategy \( \text{split-static-dynamic-args} \) separates the static from the dynamic arguments. The static arguments are translated into a list of statements \( st2* \) that assign these constant values to the corresponding formal parameters of \( f \). Using these statements the body of \( f \) is instantiated. It would be unsafe to substitute the occurrences of the formal parameters by their values, since the variables may be re-assigned during execution of the body. Constant propagation on the resulting expression block with the invocation of \( \text{propconst} \) takes care of propagating the constant assignments into the body of the function. Of course, any function calls within the body will give rise to further function call specializations. The result is a specialized function body \( eb2 \). The dynamic rule \( \text{Specialization} \) is extended to rewrite the original definition of \( f \) to the new definition for \( g \) which has the remaining non-static arguments \( x2* \) as parameters and the specialized e-block \( eb2 \) as body.

Specialization of the definition in a program now proceeds as follows (propconst-definitions). For each function or procedure definition, a \( \text{SpecializeCall} \) rule is defined using declare-def. The specialization of the call to \( \text{main}() \) then ensures that all calls reachable from \( \text{main}() \) are specialized. An application of \( \text{bagof-Specialization} \), then rewrites each function definition to the list of its specializations. A new definition for \( \text{main}() \) calls the result of specializing the original \( \text{main} \) function.

![Figure 11. Function specialization](image1)

![Figure 12. Power function specialized](image2)
9. Discussion

Related Work The idea of integrating transformation in the software development process is becoming mainstream, as suggested by recent proposals of approaches such as software factories [14], model-driven software engineering (MDSE) [3], and language oriented-programming (LOP) [10]. All these approaches have in common the development of domain-specific languages (DSLs) for capturing abstractions in a problem domain and the use of transformations to implement DSL programs. However, software factories and MDSE seem to be in the more traditional setting of separate DSLs and software generators, while LOP aims at extending a language with domain abstractions with assimilations for reducing these to the base language. In this respect, the approach is similar to macro systems such as Dylan [19] and metamorphic macros [4] that allow a programmer to define new abstractions within a program. However, macro systems allow only limited syntactic extensions, only support local to local transformations, and most macro systems do not allow the macro programmer to analyse the environment, consider typing information, or transform the arguments in arbitrary ways.

Another related approach is the modular definition of languages [11], in which language features are defined as individual components and composed in different combinations to form complete programming languages. This may become a useful approach to DSL implementation, although the research has mostly concentrated on the specification of the semantics of programming language features. Also the approach only covers the semantics of language features, not their transformations. Independent compositability is a desirable feature as it allows extensions to be provided as plugins. In the TFA languages and transformations in this paper, the extensions are not independently composable since knowledge about the base language is assumed.

Extensible compilers such as the Polyglot [16] compiler for Java are aimed at language designers and compiler implementers to support the implementation of new language features. The abc [1] compiler is an extension of Polyglot to an aspect compiler. An important point in the design of PolyGlot is scalable extensibility; the effort of creating an extension should be proportional to the number of abstract syntax tree nodes affected by the extension. In the Stratego setting this property is achieved by relying on generic traversals, which require only to define non-standard behaviour. One of the problems with existing extensible compilers is that they lack the right abstractions for program transformation and compilation, such as pattern matching, abstractions for scoping, generic traversals, and are therefore more difficult to extend.

Attribute grammars provide a declarative formalism for specifying analyses and translations for abstract syntax trees. Attribute grammars modularize well into either separate rules for a specific attribute, or into rules for a language construct. Attribute grammars with forwarding [23] allow the specification of replacement nodes to compute attribute values where the forwarding node provides none. Thus, new constructs can be defined in terms of old ones, in a similar way to desugarings. JastAdd [13, 12] extends attribute grammars with rewrite rules for normalizing tree nodes, which has a similar function as forwarding with the difference that nodes are replaced by their normal form. An issue with this set up is that the application of rewrite rules cannot be controlled.

Conclusion This paper presented a case study of language extensibility using Stratego/XT, showing that Stratego supports various styles of transformation: basic term rewriting applying local transformations; term rewriting with local-to-global transformations to add function definitions to the top-level; global-to-local transformations propagating context information in renaming, evaluation, and constant propagation; the merging of context information in constant propagation; and a combination of global-to-local with local-to-global transformation (specialization) where one dynamic rule defines another.

The use of extending strategy definitions to extend transformations works well. The implementations of the various transformations are neatly modularized per extension. The main issue with this feature is that the order of evaluation of extensions is undefined. This was intentional to encourage definition of mutually exclusive rules, but forces an extra level of indirection (e.g., the propconst→special hook) when a default case should be specialized. It would be preferable to add a case to the main strategy, e.g., propconst = eval→while, since that would also allow unanticipated extensibility. Realizing this requires more explicit control over the order of evaluation of extensions, similar to overriding in TXL [9]. Even better would be an invasive approach to extending definitions, which allows unanticipated extension at the exact location where needed.

Another issue is the fact that extensions are currently integrated based on the Stratego sources, rather than compiled components. Binary deployable extensions would be preferable since that would allow extensions to be distributed as plugins. This also requires some way to check for interferences between extensions.

While further experimentation with other language extensions and with other transformations both in the realm of standard language features and with domain-specific language embeddings is needed to test the approach sketched in this paper, it appears to be a promising path to realize transformations for abstractions.
Availability  Stratego/XT is available from http://www.stratego-language.org. The sources of the TFA project are available for experimentation from http://www.stratego-language.org/Stratego/TransformationsForAbstractions

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